

# CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL (Large Entity)

Submit an original, and a duplicate for fee processing.

(Only for Continuation or Divisional Applications Under 37 CFR 1.53(d))

Docket No.

OKA-B547

☒ **DUPLICATE** (Check box if applicable)

NOV 17 1999

PATENT &amp; TRADE

First Named Inventor

Examiner

Group/Art Unit

NISHIMOTO et al

K. Eaton

2814

Address to:

Assistant Commissioner for Patents  
Box CPA  
Washington, D.C. 20231

12/CPA  
Chesow  
11-29-99

This is a request for filing a ☒ continuation, or ☐ divisional application under 37 CFR 1.53(d), (continued prosecution lication, application (CPA)) of prior application number 08/897,839 filed on 7/21/1997 and entitled:

**STRESS-ADJUSTED INSULATING FILM FORMING METHOD, SEMICONDUCTOR DEVICE AND METHOD OF MANUFACTURING THE SAME**

1. ☐ Enter the unentered amendment previously filed on \_\_\_\_\_ under 37 CFR 1.116 in the prior nonprovisional application.

2. ☒ A preliminary amendment is enclosed.

3. ☐ This application is being filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).

a. ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:

b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.

4. ☐ A new power of attorney or authorization of agent is enclosed.

5. ☒ An Information Disclosure Statement (IDS) is enclosed:

a. ☒ PTO-1449

b. ☒ Copies of IDS Citations

6. ☒ The fee for this application is calculated as follows:

## CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	8	- 20 =	0	x \$18.00	\$0.00
Indep. Claims	2	- 3 =	0	x \$78.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
11/18/1999 SLUANG1 00000039 08897839 BASIC FEE					\$760.00
01 F-131 760.00 0P TOTAL FILING FEE					\$760.00

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7. ☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No.

- ☒ fees required under 37 C.F.R. 1.16.  
☒ fees required under 37 C.F.R. 1.17.  
☐ fees required under 37 C.F.R. 1.18.



8. ☒ A check in the amount of \$760.00 is enclosed.

9. ☒ Also enclosed:

Petition for Two Month Extension of Time in 08/897,839 with Check for \$380.00

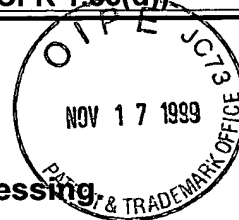
RECEIVED  
NOV 19 1999  
TC 2800 MAIL ROOM

10. ☐ The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below:

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**NOTES**

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**FILING QUALIFICATIONS:** The prior application must be a nonprovisional application that is either: (1) complete as defined by 37 C.F.R. 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. A Notice will be placed on a patent issuing from a CPA, except for reissues and designs, to the effect that the patent issued on a CPA and is subject to the twenty-year patent term provisions of 35 USC 154(a)(2). Therefore, the prior application of a CPA may have been filed before, on or after June 8, 1995.

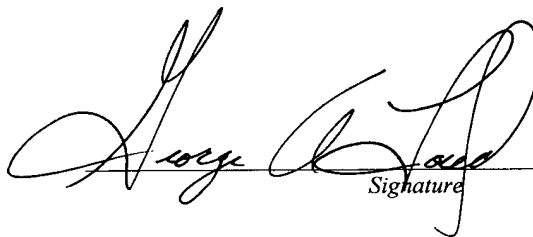
**C-I-P NOT PERMITTED:** A continuation-in-part application cannot be filed as a CPA under 37 C.F.R. 1.53(d), but must be filed under 37 C.F.R. 1.53(b).

**EXPRESS ABANDONMENT OF PRIOR APPLICATION:** The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 C.F.R. 1.53(b) must be used to file a continuation, divisional or continuation-in-part of an application that is not to be abandoned.

**ACCESS TO PRIOR APPLICATION:** The filing of this CPA will be construed to include a waiver of confidentiality by the Applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 C.F.R. 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or application in the file jacket.

**35 U.S.C. 120 STATEMENT:** In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 C.F.R. 1.78(a).

Dated: November 17, 1999

  
Signature

George A. Loud

Typed or printed name

25,814

Registration Number (if applicable)

- ☐ Inventor(s)  
☐ Assignee of complete interest  
☒ Attorney or agent of record

cc: